

THE LOCAL PUBLIC SECTOR'S ROLE IN ACHIEVING DEVELOPMENT GOALS
LPS COUNTRY SURVEY - PAGE 1

I. BASIC COUNTRY INFORMATION

C1.1	Country Name:	Guatemala
C1.2	Financial Year (2010 or 2010/11?):	2010
C1.9	National population:	14,361,666
C1.10	Population density (pop per sq. km):	132
C1.11	Percent Urban Population	49
C2.1	Administrative Tradition:	Other
C3.1	Unitary or Federal Country:	Unitary
	Major Decentralization Reform (2000-2010)?:	Yes

II. STRUCTURE OF THE PUBLIC SECTOR (MAIN LEVELS / TIERS / TYPES)

G1.1	Name of subnational level / tier / type	Number of jurisdictions	Average population
C.	Central (national) government		
1.	Regional Government (see notes)	22	652,803
2.	Local Government	338	42,490
3.	...		
4.	...		

III. ORGANIZATIONAL / GOVERNANCE STRUCTURE OF THE PUBLIC SECTOR

	Subnational Level / Tier / Type	1.	2.	3.	4.
G1	Main features of local entities				
G1.2	Are local entities corporate bodies?	...	Yes
G1.4	Do local entities have their own political leadership?	...	Yes
G1.6	Do local entities prepare/adopt/manage their own budgets?	...	Yes
G1.7	If G1.2 no, are local entities budgetary sub-units of the higher-level?
	If G1.7 yes, horizontal deconcentration or vertical deconcentration?
G1.8	If G1.2 no, are local entities non-budgetary sub-units of the higher-level?
G2	Governance of local entities				
G1.5	If G1.4 yes, is the local political leadership (at least in part) locally elected?	...	Yes
G2.2	If G1.5 yes, have elections been held in the past seven years?	...	Yes
G2.3	Does the local political leadership include elected local councils?	...	Yes
G2.4	If G2.3 no, is there a local advisory / supervisory council?
G2.5	Is the local executive directly or indirectly elected?	...	Yes

IV. (DE FACTO) ASSIGNMENT OF FUNCTIONS & EXPENDITURE RESPONSIBILITIES

		Personnel	O&M	Supplies	Capital
707	Health				
F1.18	Public health and outpatient services (7072,7074)	C	C	C	C
F1.19	Hospital services (7073)	C	C	C	C
709	Education				
F1.22	Pre-primary Education (70911)	C	C	C	C
F1.23	Primary Education (70912)	C	C	C	C
F1.24	Secondary Education (7092)	C	C	C	C
F1.25	Vocational (postsecondary, nontertiary) Education (7093)	C	C	C	C
F1.26	Tertiary Education (7094)	C	C	C	C

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V. BACKGROUND ON PUBLIC HEALTH AND EDUCATION EXPENDITURES (INCLUDING DEVELOPMENT ASSISTANCE)

C1.5	Currency Name:	Quetzal (Q, GTQ)
C1.6	Currency Unit (e.g., thousands, millions):	Millions
C1.7	Exchange Rate (US\$ 1 = X Local Currency)	7.72612
C1.8	Gross Domestic Product	333093.40
Z5.9	Actual spending or budget estimates?	Mixed / Other
ODA 1	ODA spending included in public accounts?	Yes

	ODA Spending by Sector (US\$ millions)	2000	2005	2010
ODA 2	Health Sector	215.86	246.43	476.48
ODA 3	Education Sector	363.89	608.44	967.24
ODA 4	Total ODA (all sectors)	2,772.10	4,258.60	6,153.70

	ODA Modalities	SWAp (Yes/No)	Sector Basket (Yes/No)	Budget Support (Yes/No)	Local ODA 2010 (US\$ million)
ODA 5	Health Sector	Yes	Mixed / Other	Mixed / Other	14.8
ODA 6	Education Sector	Yes	Mixed / Other	Mixed / Other	55.9

VI. SECTORAL SPENDING: HEALTH EXPENDITURES (E707)

	Subnational Level / Tier / Type	Pers. Exp.	O&M Exp.	Supplies Exp.	Capital Exp.	Total Exp.
C	Central (Non-Local) Expenditures	214.09	250.06	196.4	37.83	698.38
D	Direct & Delegated Expenditures	1957.34	76.57	852.93	1.42	2888.26
1	Regional Government (see notes)					0
2	Local Government	39.7	15.1	15.77	50.14	120.71
3	...					
4	...					
	Total Expenditures	2211.13	341.73	1065.1	89.39	3707.35
	Substantial central control over LPS Exp?	Yes	Yes	Yes	Yes	Yes

VII. SECTORAL SPENDING: EDUCATION (E709)

	Subnational Level / Tier / Type	Pers. Exp.	O&M Exp.	Supplies Exp.	Capital Exp.	Total Exp.
C	Central (Non-Local) Expenditures	428.3	1705.4	635.8	1	2770.5
D	Direct & Delegated Expenditures	5900.6	329.2	162.8	0	6392.6
1	Regional Government (see notes)					0
2	Local Government	90.3	42.7	34.4	289.4	456.8
3	...					
4	...					
	Total Expenditures	6419.2	2077.3	833	290.4	9619.9
	Substantial central control over LPS Exp?	Yes	Yes	Yes	Yes	Yes

VIII. SECTORAL HUMAN RESOURCES / STAFFING LEVELS: HEALTH AND EDUCATION

	Subnational Level / Tier / Type	Health Sector	Education Sector
C	Central Government Staff	35,013	135,409
1	Regional Government (see notes)		
2	Local Government		
3	...		
4	...		
	Total Sectoral Staff	35,013	135,409

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IX. POLITICAL INSTITUTIONS AT THE LOCAL LEVEL

	Subnational Level / Tier / Type	1.	2.	3.	4.
C3.4	The central government recognizes the principles of local autonomy and subsidiarity in law and in practice.	...	Yes
C3.7	Local government elections have been regularly held over the past 20 years.	...	Yes
P3.3	Local political candidates are (s)electd at local level with little or no central party involvement.	...	No
P3.5	The ruling national party does not have a dominant position in local elections; other parties control at least 25 percent of local governments.	...	Yes
P4.2	Local records and documents are required to be available (and are available) to the public.	...	No
P4.4	Alternative participatory mechanisms (such as referendums) are used at local level.	...	Yes

X. ADMINISTRATIVE INSTITUTIONS AT THE LOCAL LEVEL

	Subnational Level / Tier / Type	1.	2.	3.	4.
A2.2	Local jurisdictions engage in procurement of all infrastructure and goods/services needed to perform local functions.	...	Mixed / Other
A3.4	Local jurisdictions determine own staff structure and the number of local employees without requiring central approval.	...	Yes
A3.5 / A3.6	Local jurisdictions have the authority to set their own salary scales and allowances.	...	Yes
A3.7 / A3.8	Local jurisdictions recruit, hire, promote, and fire their own employees.	...	Yes
A4.4	Heads of local service delivery units (such as schools and clinics) have a degree of managerial autonomy in administering services.	...	No
A4.5	Local service delivery units (such as schools and clinics) have their own formal public oversight mechanisms.	...	Yes

XI. FISCAL INSTITUTIONS AT THE LOCAL LEVEL

	Subnational Level / Tier / Type	1.	2.	3.	4.
REV	Local own source revenues exceed 20% of local expenditures.	...	No
F2	Local jurisdictions have at least one major revenue source over which it sets the tax base and/or tax rate.	...	Yes
F3.1	Size of intergovernmental transfer pool is determined by fixed rules.	...	No
F3.2	Allocation of intergovernmental transfers is determined by rules or by transfer formulas.	...	Yes
F3.3a	Intergovernmental transfers are provided in complete, timely and consistent manner.	...	No
F4.1	Local entities generally have the right to borrow in their own name without obtaining specific central permission.	...	No

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XII. GLOBAL DEVELOPMENT OUTCOME INDICATORS

	Basic education outputs/outcomes	Outcomes 2000	Outcomes 2005	Outcomes 2010
MDG 2.1	Net enrolment ratio in primary education	84.3	93.5	95.8
MDG 2.2	Proportion of pupils starting grade 1 who reach last grade of primary	50.4	63.3	70.9
MDG 2.3	Literacy rate of 15-24 year-olds, women and men	68.3	74.8	84.0
MDG 2.Z	Passing rate for primary school leaving exam			

	Public health outputs /outcomes	Outcomes 2000	Outcomes 2005	Outcomes 2010
MDG 4.1	Under-five mortality rate	50.6	41.3	34.3
MDG 4.2	Infant mortality rate	38.6	35.2	29.3
MDG 4.3	Proportion of 1 year-old children immunized against measles	86.0	93.0	93.0
MDG 5.2	Proportion of births attended by skilled health personnel	...	32.8	51.7
MDG 6.1	HIV prevalence among population aged 15-49 years	0.8	0.9	0.7
MDG 6.6	Incidence and death rates associated with malaria	5.0	3.5	4.0

	Other MDG outputs/outcomes	Outcomes 2000	Outcomes 2005	Outcomes 2010
MDG 1.1	Proportion of population below \$1 (PPP) per day	15.7	15.2	13.3
MDG 7.8	Proportion of population using an improved drinking water source	87.4	90.4	93.2

XIII. PROFILE COMPLETION / CONTACT PERSON

	Contact person (name):	Hans FUCHS
	Email:	hans-heiner.fuchs@giz.de
	Remarks about completion:	There is not Regional Government in